

2-一致凸 Banach 空间的特征不等式*

李婷婷^{1,2}, 苏雅拉图¹

(1. 内蒙古师范大学数学科学学院, 内蒙古 呼和浩特 010022;
2. 准格尔民族小学, 内蒙古 鄂尔多斯 010300)

摘要: 用 Banach 空间理论的方法研究了 2-一致凸空间的特征不等式问题, 作为徐总本和 Roach 得到的一致凸 Banach 空间的特征不等式之推广给出了 2-一致凸 Banach 空间的特征不等式。

关键词: 2-一致凸 Banach 空间; 2 维凸性模; 特征不等式

中图分类号: O177.2 **文献标志码:** A **文章编号:** 0529-6579 (2018) 02-0069-07

The characteristic inequality of 2-uniformly rotund Banach spaces

LI Tingting^{1,2}, SUYA Latu¹

(1. College of Mathematics Science, Inner Mongolia Normal University, Huhhot 010022, China;
2. Zhungeer Ethnic Primary School, Erdos 010300, China)

Abstract: The problem of the characteristic inequality in 2-uniformly convex spaces is discussed by using the method of Banach space theory. The characteristic inequality of the 2-uniformly rotund Banach spaces is given as a generalization of the characteristic inequality in the uniformly rotund Banach spaces which was given by Zong-Ben Xu and Roach.

Key words: 2-uniformly rotund Banach space ; 2-modulus of rotundity; characteristic inequality

In 1936, the concept of a uniformly rotund Banach space was first introduced by Clarkson^[1], and this class of Banach spaces is very interesting and has numerous applications. Consequently, some methods were found to investigate the geometry of Banach space (see [1] ~ [11]). In 1977, Sullivan^[2] introduced the 2-uniformly rotund spaces as a generalization of uniformly rotund Banach spaces.

In this paper, X will denotes a real Banach space and X^* will denotes its dual space, symbols

$$U(X) = \{x; x \in X, \|x\| \leq 1\},$$
$$S(X) = \{x; x \in X, \|x\| = 1\}$$

denote the unit ball and the unit sphere in X respectively. For arbitrarily real numbers $\lambda_1, \lambda_2, \lambda_3$, we always

let $\lambda_1 \vee \lambda_2 \vee \lambda_3 = \max(\lambda_1, \lambda_2, \lambda_3)$, $\lambda \wedge \lambda_2 \wedge \lambda_3 = \min(\lambda_1, \lambda_2, \lambda_3)$, and for all $\lambda_1, \lambda_2, \lambda_3 \in [0, 1]$ are always assumed to be such that $\lambda_1 + \lambda_2 + \lambda_3 = 1$.

For an arbitrary space X , one of the measuring the “2-uniformly” of the set of three dimensional subspaces is in terms of the real valued modulus of rotundity, i. e. for $\varepsilon > 0$,

$$\delta_X(\varepsilon) = \inf \left\{ 1 - \frac{\|x_1 + x_2 + x_3\|}{3} \right\};$$

$$x_1, x_2, x_3 \in S(X), A(x_1, x_2, x_3) \geq \varepsilon \}$$

Where

$$A(x_1, x_2, x_3) =$$

* 收稿日期: 2017-08-23

基金项目: 国家自然科学基金 (11561053)

作者简介: 李婷婷 (1989 年生), 女; 研究方向: Banach 空间的几何理论; E-mail: ht20104013001@sina.cn

通信作者: 苏雅拉图 (1960 年生), 男; 研究方向: Banach 空间的几何理论; E-mail: suyila520@163.com

$$\sup \left\{ \begin{array}{ccc} 1 & 1 & 1 \\ f_1(x_1) & f_1(x_2) & f_1(x_3) \\ f_2(x_1) & f_2(x_2) & f_2(x_3) \end{array} \right\};$$

$$f_i \in S(X^*), i = 1, 2 \}$$

Banach space X is said to be 2-uniformly rotund^[2] if for any $\varepsilon > 0$, there exists a $\delta > 0$, such that for $x_1, x_2, x_3 \in S(X)$, if $\|x_1 + x_2 + x_3\| > 3 - \delta$, then $A(x_1, x_2, x_3) < \varepsilon$.

In 1989, Zongben Xu and G. F. Roach [3] gave the characteristic inequality in the uniformly rotund Banach spaces as follows: X is uniformly rotund Banach space if and only if for $\forall p \in (0, 1)$, there exists a strictly increasing function $\delta_p(\lambda, \mu, \cdot): \mathbf{R}^+ \rightarrow \mathbf{R}^+$, $\delta_p(\lambda, \mu, 0) = 0$, such that

$$\|\lambda x + \mu y\|^p + (\|x\| \vee \|y\|)^p \cdot$$

$$\delta_p\left(\lambda, \mu, \frac{\|x - y\|}{\|x\| \vee \|y\|}\right) \leq$$

$$\lambda \|x\|^p + \mu \|y\|^p, \forall x, y \in X$$

Where the symbol $\|x\| \vee \|y\|$ means maximum of $\|x\|$ and $\|y\|$, and $\forall \lambda, \mu \in [0, 1]$ are satisfy that $\lambda + \mu = 1$.

The generalization of above characteristic inequality to the 2-uniformly rotund Banach spaces which we shall consider can be motivated by the following re-statement of the characteristic inequality in the uniformly rotund Banach spaces: X is uniformly rotund Banach space if and only if for $\forall p \in (0, 1)$, there exists a strictly increasing function $\delta_p(\lambda, \mu, \cdot): \mathbf{R}^+ \rightarrow \mathbf{R}^+$, $\delta_p(\lambda, \mu, 0) = 0$, such that

$$\|\lambda x + \mu y\|^p + (\|x\| \vee \|y\|)^p \cdot$$

$$\delta_p\left(\lambda, \mu, \frac{A(x, y)}{\|x\| \vee \|y\|}\right) \leq$$

$$\lambda \|x\|^p + \mu \|y\|^p, \forall x, y \in X$$

Where

$$A(x, y) = \sup_{f \in S(X^*)} \left\{ \begin{array}{cc} 1 & 1 \\ f(x) & f(y) \end{array} \right\} =$$

$$\sup_{f \in S(X^*)} |f(x) - f(y)| = \|x - y\|$$

Now we give the characteristic inequality in the 2-uniformly rotund Banach spaces as follows: X is 2-uniformly rotund if and only if for each $\forall p \in (0, 1)$, there exists a strictly increasing function $\delta_p(\lambda_1, \lambda_2, \lambda_3, \cdot): \mathbf{R}^+ \rightarrow \mathbf{R}^+$, $\delta_p(\lambda_1, \lambda_2, \lambda_3, 0) = 0$, such that

$$\left\| \sum_{i=1}^3 \lambda_i x_i \right\|^p + (\|x_1\| \vee \|x_2\| \vee \|x_3\|)^p \cdot$$

$$\delta_p\left(\lambda_1, \lambda_2, \lambda_3, \frac{A(x_1, x_2, x_3)}{(\|x_1\| \vee \|x_2\| \vee \|x_3\|)^2}\right) \leq$$

$$\sum_{i=1}^3 \lambda_i \|x_i\|^p, \forall x_1, x_2, x_3 \in X$$

The characteristic inequality of 2-uniformly rotund Banach spaces.

Theorem 1 X is 2-uniformly rotund if and only if for each $\forall p \in (0, 1)$, there exists a strictly increasing function $\delta_p(\lambda_1, \lambda_2, \lambda_3, \cdot): \mathbf{R}^+ \rightarrow \mathbf{R}^+$, $\delta_p(\lambda_1, \lambda_2, \lambda_3, 0) = 0$, such that

$$\left\| \sum_{i=1}^3 \lambda_i x_i \right\|^p + (\|x_1\| \vee \|x_2\| \vee \|x_3\|)^p \cdot$$

$$\delta_p\left(\lambda_1, \lambda_2, \lambda_3, \frac{A(x_1, x_2, x_3)}{(\|x_1\| \vee \|x_2\| \vee \|x_3\|)^2}\right) \leq$$

$$\sum_{i=1}^3 \lambda_i \|x_i\|^p, \forall x_1, x_2, x_3 \in X \quad (1)$$

In order to prove theorem 1, we give two lemmas.

Lemma 1^[4] X is 2-uniformly rotund Banach space if and only if $\delta_X(\varepsilon) > 0$.

Lemma 2 For $x_1, x_2, x_3 \in S(X)$, $t_1, t_2 \in (0, 1]$, let $\varepsilon = A(x_1, x_2, x_3) \neq 0$, then

$$\|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3\| \leq$$

$$\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3) t_1 t_2 \delta_X(\varepsilon)$$

Proof (I) Suppose that x_1, x_2, x_3 are linearly independent and denote by E the subspace spanned by the elements x_1, x_2, x_3 and the zero element, then the element $\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3$ belongs to E . Let z be the intersection point of the vector $\lambda_1 x_1 + \lambda_2 t_1 x_2 - x_3$ and the ray $\tau \cdot (\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3)$ in the subspace E , where $\tau \geq 0$. Then there exist real numbers α, β such that

$$z = \alpha(\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3), \alpha \geq 0,$$

$$z = \beta(\lambda_1 x_1 + \lambda_2 t_1 x_2) + (1 - \beta)(\lambda_1 x_1 +$$

$$\lambda_2 t_1 x_2 + \lambda_3 x_3), 0 \leq \beta \leq 1$$

Since x_1, x_2, x_3 are linearly independent, it follows that $\alpha = 1, \beta = 1 - t_2$, and

$$\|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3\| =$$

$$\|\beta(\lambda_1 x_1 + \lambda_2 t_1 x_2) + (1 - \beta) \cdot$$

$$(\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3)\| \leq$$

$$(1 - t_2)\lambda_1 + (1 - t_2)\lambda_2 t_1 +$$

$$t_2 \|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3\|$$

Let w be the intersection point of the ray $\tau \cdot (\lambda_1 x_1 + \lambda_3 x_3 + \lambda_2 t_1 x_2)$, (where $\tau \geq 0$) and the vector $\lambda_1 x_1 + \lambda_3 x_3 - x_2$. Then there exist real numbers μ, ν such that

$$w = \mu(\lambda_1 x_1 + \lambda_3 x_3 + \lambda_2 t_1 x_2), \mu \geq 0,$$

$$w = \nu(\lambda_1 x_1 + \lambda_3 x_3) + (1 - \nu) \sum_{i=1}^3 \lambda_i x_i, 0 \leq \nu \leq 1$$

Since x_1, x_2, x_3 are linearly independent, it follows that $\mu = 1, \nu = 1 - t_1$, and

$$\begin{aligned} & \| \lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3 \| = \\ & \| \nu(\lambda_1 x_1 + \lambda_3 x_3) + (1 - \nu) \sum_{i=1}^3 \lambda_i x_i \| \leq \\ & (1 - t_1) \lambda_1 + (1 - t_1) \lambda_3 + t_1 \| \sum_{i=1}^3 \lambda_i x_i \| \end{aligned}$$

Therefore

$$\begin{aligned} & \| \lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3 \| \leq (1 - t_2) \lambda_1 + \\ & (1 - t_2) \lambda_2 t_1 + t_2 \| \lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3 \| \leq \\ & (1 - t_2) \lambda_1 + (1 - t_2) \lambda_2 t_1 + (1 - t_1) t_2 \lambda_1 + \\ & (1 - t_1) t_2 \lambda_3 + t_1 t_2 \| \lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3 \| = \\ & \lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - t_1 t_2 + \\ & t_1 t_2 \| \lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3 \| \end{aligned}$$

We define a function

$$f(\lambda, \mu, x, x_1, x_2) = \frac{\| x + \lambda x_1 + \mu x_2 \| - \| x \|}{(\lambda \wedge \mu)}$$

where the symbol $\lambda \wedge \mu$ means minimum of λ and μ with $\lambda, \mu \in [0, 1], \lambda^2 + \mu^2 \neq 0$, and $x, x_1, x_2 \in X$. Without loss of generality, we may assume that $\lambda_3 = \min(\lambda_1, \lambda_2, \lambda_3)$, then

$$\begin{aligned} & f(\lambda_2, \lambda_3, x_1, x_2 - x_1, x_3 - x_1) - \\ & f\left(\frac{1}{3}, \frac{1}{3}, x_1, x_2 - x_1, x_3 - x_1\right) = \end{aligned}$$

$$\begin{aligned} & \frac{\| x_1 + \lambda_2(x_2 - x_1) + \lambda_3(x_3 - x_1) \| - \| x_1 \|}{\lambda_3} - \\ & 3\left(\frac{\| x_1 + \frac{1}{3}(x_2 - x_1) + \frac{1}{3}(x_3 - x_1) \| - \| x_1 \|}{\lambda_3} \right) = \\ & \frac{\| \lambda_1 x_1 + \lambda_2 x_2 + \lambda_3 x_3 \| - \| x_1 \|}{\lambda_3} - \\ & 3\left(\frac{\| \frac{1}{3} x_1 + \frac{1}{3} x_2 + \frac{1}{3} x_3 \| - \| x_1 \|}{\lambda_3} \right) = \\ & \frac{\| \lambda_1 x_1 + \lambda_2 x_2 + \lambda_3 x_3 \|}{\lambda_3} - \frac{\| x_1 \|}{\lambda_3} - \\ & \frac{\| x_1 + x_2 + x_3 \| + 3 \| x_1 \|}{\lambda_3} \leq \\ & \left\| \left(\frac{\lambda_1}{\lambda_3} - 1 \right) x_1 + \left(\frac{\lambda_2}{\lambda_3} - 1 \right) x_2 \right\| + 3 - \frac{1}{\lambda_3} \leq \\ & \frac{\lambda_1}{\lambda_3} - 1 + \frac{\lambda_2}{\lambda_3} - 1 + 3 - \frac{1}{\lambda_3} = 0 \end{aligned}$$

This shows that $f(\lambda_2, \lambda_3, x_1, x_2 - x_1, x_3 - x_1) \leq$

$$f\left(\frac{1}{3}, \frac{1}{3}, x_1, x_2 - x_1, x_3 - x_1\right).$$

Moreover, we have

$$\| \lambda_1 x_1 + \lambda_2 x_2 + \lambda_3 x_3 \| \leq$$

$$\begin{aligned} & 1 + \lambda_3(\| x_1 + \lambda_2(x_2 - x_1) + \\ & \lambda_3(x_3 - x_1) \| - \| x_1 \|) / \lambda_3 = \\ & 1 + \lambda_3 f(\lambda_2, \lambda_3, x_1, x_2 - x_1, x_3 - x_1) \leq \\ & 1 + 3 \lambda_3 \left(\left\| \frac{1}{3} \sum_{i=1}^3 x_i \right\| - 1 \right) = \\ & 1 - 3 \lambda_3 \left(1 - \frac{1}{3} \left\| \sum_{i=1}^3 x_i \right\| \right) \leq \\ & 1 - 3 \lambda_3 \delta_X(\varepsilon) \end{aligned}$$

Consequently,

$$\begin{aligned} & \| \lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3 \| \leq \\ & \lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3) t_1 t_2 \delta_X(\varepsilon) \end{aligned} \tag{2}$$

(II) Suppose that x_1, x_2, x_3 are linearly dependent. Because $A(x_1, x_2, x_3) \neq 0$, so x_1, x_2, x_3 are not all linearly dependent in pairs.

If $\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3 = 0$, then the conclusion is obviously.

If $\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3 \neq 0$, it is impossible that $\lambda_1 x_1 + \lambda_2 t_1 x_2$ and x_3 are collinear is simultaneous with $\lambda_1 x_1 + \lambda_3 t_2 x_3$ and x_2 are collinear. Otherwise, there exist real numbers, λ, μ such that

$$\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda x_3 = 0 \tag{3}$$

$$\lambda_1 x_1 + \mu x_2 + \lambda_3 t_2 x_3 = 0 \tag{4}$$

From (3) and (4), we know that x_2 and x_3 are non-collinear, it follows that $\lambda = \lambda_3 t_2, \mu = \lambda_2 t_1$. This is incompatible with $\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3 \neq 0$.

① When $\lambda_1 x_1 + \lambda_2 t_1 x_2$ and x_3 are non-collinear, $\lambda_1 x_1 + \lambda_3 t_2 x_3$ and x_2 are collinear, denote by E the subspace spanned by the elements x_1, x_2, x_3 and the zero element, then the element $\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3$ belongs to E . Let z be the intersection point of the vector $\lambda_1 x_1 + \lambda_2 t_1 x_2 - x_3$ and the ray $\tau \cdot (\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3)$ in the subspace E , where $\tau \geq 0$. Then there exist real numbers α, β such that

$$z = \alpha(\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3), \alpha \geq 0 \tag{5}$$

$$z = \beta(\lambda_1 x_1 + \lambda_2 t_1 x_2) + (1 - \beta) \cdot$$

$$(\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3), 0 \leq \beta \leq 1 \tag{6}$$

(6) $\times \alpha -$ (5), we have

$$\begin{aligned} \alpha z - z &= \alpha(\lambda_1 x_1 + \lambda_2 t_1 x_2 + (1 - \beta) \lambda_3 x_3) - \\ & \alpha(\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3) = \alpha(1 - \beta - t_2) \lambda_3 x_3 \end{aligned}$$

Since z and x_3 are linearly independent, it follows that $\alpha = 1, \beta = 1 - t_2$, and

$$\| \lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3 \| =$$

$$\| \beta(\lambda_1 x_1 + \lambda_2 t_1 x_2) +$$

$$(1 - \beta)(\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3) \| \leq$$

$$(1 - t_2)\lambda_1 + (1 - t_2)\lambda_2 t_1 + t_2 \|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3\|$$

From $\lambda_1 x_1 + \lambda_3 t_2 x_3$ and x_2 are collinear, we know that $\lambda_1 x_1 + \lambda_3 x_3$ and x_2 are non-collinear.

Let w be the intersection point of the ray $\tau \cdot (\lambda_1 x_1 + \lambda_3 x_3 + \lambda_2 t_1 x_2)$, (where $\tau \geq 0$) and the vector $\lambda_1 x_1 + \lambda_3 x_3 - x_2$. Then there exist real numbers μ, ν such that

$$w = \mu(\lambda_1 x_1 + \lambda_3 x_3 + \lambda_2 t_1 x_2), \mu \geq 0 \quad (7)$$

$$w = \nu(\lambda_1 x_1 + \lambda_3 x_3) +$$

$$(1 - \nu) \sum_{i=1}^3 \lambda_i x_i, 0 \leq \nu \leq 1 \quad (8)$$

(8) $\times \mu - (7)$, we have

$$\mu w - w = \mu(\lambda_1 x_1 + \lambda_3 x_3 + (1 - \nu)\lambda_2 x_2) - \mu(\lambda_1 x_1 + \lambda_3 x_3 + \lambda_2 t_1 x_2) = \mu(1 - \nu - t_1)\lambda_2 x_2$$

Since x_2 and w are linearly independent, it follows that $\mu = 1, \nu = 1 - t_1$, and

$$\|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3\| =$$

$$\|\nu(\lambda_1 x_1 + \lambda_3 x_3) + (1 - \nu) \sum_{i=1}^3 \lambda_i x_i\| \leq$$

$$(1 - t_1)\lambda_1 + (1 - t_1)\lambda_3 + t_1 \left\| \sum_{i=1}^3 \lambda_i x_i \right\|$$

Therefore

$$\|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3\| \leq$$

$$(1 - t_2)\lambda_1 + (1 - t_2)\lambda_2 t_1 + t_2 \left\| \sum_{i=1}^3 \lambda_i x_i \right\| \leq$$

$$(1 - t_2)(\lambda_1 + \lambda_2 t_1) +$$

$$(1 - t_1)t_2(\lambda_1 + \lambda_3) + t_1 t_2 \left\| \sum_{i=1}^3 \lambda_i x_i \right\| =$$

$$\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 + t_1 t_2 \left(\left\| \sum_{i=1}^3 \lambda_i x_i \right\| - 1 \right) \quad (9)$$

By (2) we know that

$$\left\| \sum_{i=1}^3 \lambda_i x_i \right\| \leq 1 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3) \delta_X(\varepsilon) \quad (10)$$

Combining (9) and (10), we have

$$\|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3\| \leq$$

$$\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3) \delta_X(\varepsilon)$$

② When $\lambda_1 x_1 + \lambda_2 t_1 x_2$ and x_3 are collinear, $\lambda_1 x_1 + \lambda_3 t_2 x_3$ and x_2 are collinear, we can prove it greatly similar to ①.

③ When $\lambda_1 x_1 + \lambda_2 t_1 x_2$ and x_3 are non-collinear, $\lambda_1 x_1 + \lambda_3 t_2 x_3$ and x_2 are non-collinear, from the process of proving (1), it follows that

$$\|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3\| \leq (1 - t_2)\lambda_1 +$$

$$(1 - t_2)\lambda_2 t_1 + t_2 \|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3\|$$

Now we divide two possible cases:

Case \otimes_1 If $\sum_{i=1}^3 \lambda_i x_i = 0$, then

$$\|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 t_2 x_3\| \leq (1 - t_2)\lambda_1 +$$

$$(1 - t_2)\lambda_2 t_1 + t_2 \|\lambda_1 x_1 + \lambda_2 t_1 x_2 + \lambda_3 x_3\| =$$

$$(1 - t_2)\lambda_1 + (1 - t_2)\lambda_2 t_1 + t_2(\lambda_2 - \lambda_2 t_1) =$$

$$\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - 2\lambda_2 t_1 t_2 - (\lambda_1 + \lambda_3 - \lambda_2)t_2 \leq$$

$$\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - 2\lambda_2 t_1 t_2 - (\lambda_1 + \lambda_3 - \lambda_2)t_1 t_2 =$$

$$\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - t_1 t_2 \leq$$

$$\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - t_1 t_2 \delta_X(\varepsilon) \leq$$

$$\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3) t_1 t_2 \delta_X(\varepsilon)$$

Case \otimes_2 If $\sum_{i=1}^3 \lambda_i x_i \neq 0$, then $\lambda_1 x_1 + \lambda_2 x_2$ and x_3

are collinear and $\lambda_1 x_1 + \lambda_3 x_3$ and x_2 collinear is impossible simultaneous. When $\lambda_1 x_1 + \lambda_2 x_2$ and x_3 are non-collinear, we can prove it greatly similar to ①. When $\lambda_1 x_1 + \lambda_3 x_3$ and x_2 collinear $\lambda_1 x_1 + \lambda_2 x_2$ and x_3 are non-collinear, then $\lambda_1 x_1 + \lambda_3 t_2 x_3$ and x_2 non-collinear. In this case, we can prove it greatly similar to ②. Thus, we complete the proof of lemma 2.

Proof of theorem 1 When the inequality (1) is satisfied, for $\forall x_1, x_2, x_3 \in S(X)$ with $A(x_1, x_2, x_3) \geq \varepsilon$. We take $\lambda_1 = \lambda_2 = \lambda_3 = \frac{1}{3}$ in the inequality (1), then

$$\left\| \frac{x_1 + x_2 + x_3}{3} \right\| \leq \left(1 - \delta_p \left(\frac{1}{3}, \frac{1}{3}, \frac{1}{3}, \varepsilon \right) \right)^{\frac{1}{p}}$$

Which implies that

$$\delta_X(\varepsilon) \geq 1 - \left(1 - \delta_p \left(\frac{1}{3}, \frac{1}{3}, \frac{1}{3}, \varepsilon \right) \right)^{\frac{1}{p}} > 0$$

Thus, applying Lemma 1, we know that X is 2-uniformly rotund Banach space.

Conversely, suppose that X is a 2-uniformly rotund Banach space. We shall construct a function $\delta_p(\lambda_1, \lambda_2, \lambda_3, \varepsilon)$, so that the inequality (1) is fulfilled. For this purpose, we first define a function

$$\varphi_p(\lambda_1, \lambda_2, \lambda_3, \varepsilon) = \min \{ f_p^{(1)}, f_p^{(2)}, f_p^{(3)}, f_p^{(4)} \}$$

where

$$f_p^{(1)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon) =$$

$$\lambda_1 + (\lambda_2 + \lambda_3) \left(1 - \frac{\varepsilon}{12} \right)^p -$$

$$\left(\lambda_1 + (\lambda_2 + \lambda_3) \left(1 - \frac{\varepsilon}{12} \right) \right)^p,$$

$$f_p^{(2)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon) =$$

$$\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12} \right)^p - \frac{\left(\lambda_1 + \lambda_3 \left(1 - \frac{\varepsilon}{12} \right) \right)^p}{(1 - \lambda_2)^p},$$

$$\begin{aligned}
 f_p^{(3)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon) &= \\
 \lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right)^p + \lambda_3 \left(\frac{\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_3}\right)^p - \\
 \left(\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right) + \lambda_3 \left(\frac{\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_3}\right)\right)^p, \\
 f_p^{(4)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon) &= \lambda_1 + \\
 \lambda_2 \left(\frac{\lambda_1 + \lambda_3 \left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_2}\right)^p + \lambda_3 \left(1 - \frac{\varepsilon}{12}\right)^p - \\
 \left(\lambda_1 + \lambda_2 \left(\frac{\lambda_1 + \lambda_3 \left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_2}\right) + \lambda_3 \left(1 - \frac{\varepsilon}{12}\right)\right)^p
 \end{aligned}$$

Now we show the following inequality:

$$\begin{aligned}
 \|\lambda_1 x_1 + \lambda_2 x_2 + \lambda_3 x_3\|^p + \varphi_p(\lambda_1, \lambda_2, \lambda_3, \varepsilon) \leq \\
 \lambda_1 \|x_1\|^p + \lambda_2 \|x_2\|^p + \lambda_3 \|x_3\|^p
 \end{aligned}$$

with the function $\delta_p(\lambda_1, \lambda_2, \lambda_3, \varepsilon)$ holds for every $\forall x_1 \in S(X), x_2, x_3 \in U(X)$.

Let

$$\begin{aligned}
 \|x_1\| &= 1, \|x_2\| = t_1, \\
 \|x_3\| &= t_2, \bar{x}_2 = \frac{x_2}{t_1}, \bar{x}_3 = \frac{x_3}{t_2},
 \end{aligned}$$

$$A(x_1, x_2, x_3) = \varepsilon, A(x_1, \bar{x}_2, \bar{x}_3) = \bar{\varepsilon}$$

and consider the function g defined by

$$\begin{aligned}
 g(t, t') &= \\
 \lambda_1 + \lambda_2 t^p + \lambda_3 t'^p - (\lambda_1 + \lambda_2 t + \lambda_3 t' - \\
 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3) t t' \delta_X(\bar{\varepsilon}))^p, \\
 0 \leq t, t' \leq 1
 \end{aligned}$$

From Lemma 2, we have

$$\begin{aligned}
 g(t_1, t_2) &\leq \lambda_1 + \lambda_2 t_1^p + \lambda_3 t_2^p - \\
 \|\lambda_1 x_1 + \lambda_2 t_1 \bar{x}_2 + \lambda_3 t_2 \bar{x}_3\|^p &= \\
 \lambda_1 \|x_1\|^p + \lambda_2 \|x_2\|^p + \\
 \lambda_3 \|x_3\|^p - \|\lambda_1 x_1 + \lambda_2 x_2 + \lambda_3 x_3\|^p \quad (11)
 \end{aligned}$$

In what follows, we will divide four possible cases which complete the steps of proving theorem 1.

① If $t_1, t_2 \leq 1 - \frac{\varepsilon}{12}$, then, without loss of generality, we may assume that $t_1 \leq t_2$, so we have

$$\begin{aligned}
 g(t_1, t_2) &\geq \lambda_1 + \lambda_2 t_1^p + \\
 \lambda_3 t_2^p - (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^p
 \end{aligned}$$

Let

$$\begin{aligned}
 q(t_1, t_2) &= \lambda_1 + \lambda_2 t_1^p + \\
 \lambda_3 t_2^p - (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^p
 \end{aligned}$$

then

$$\frac{\partial q}{\partial t_1} = p\lambda_2 t_1^{p-1} - p\lambda_2(\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^{p-1}$$

Since $t_1 \leq t_2$, it follows that $\frac{\partial q}{\partial t_1} < 0$, hence

$$\begin{aligned}
 g(t_1, t_2) &\geq \lambda_1 + \lambda_2 t_1^p + \lambda_3 t_2^p - \\
 (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^p &\geq \\
 \lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right)^p + \\
 \lambda_3 t_2^p - (\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right) + \lambda_3 t_2)^p
 \end{aligned}$$

and

$$\begin{aligned}
 q\left(1 - \frac{\varepsilon}{12}, t_2\right) &= \lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right)^p + \\
 \lambda_3 t_2^p - (\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right) + \lambda_3 t_2)^p
 \end{aligned}$$

Because

$$\frac{\partial q\left(1 - \frac{\varepsilon}{12}, t_2\right)}{\partial t_2} = p\lambda_3 t_2^{p-1} -$$

$$p\lambda_3(\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right) + \lambda_3 t_2)^{p-1}$$

so we have $\frac{\partial q\left(1 - \frac{\varepsilon}{12}, t_2\right)}{\partial t_2} < 0$ when $t_2 \leq 1 - \frac{\varepsilon}{12}$.

Hence

$$\begin{aligned}
 g(t_1, t_2) &\geq \lambda_1 + \lambda_2 t_1^p + \lambda_3 t_2^p - \\
 (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^p &\geq \\
 \lambda_1 + (\lambda_2 + \lambda_3) \left(1 - \frac{\varepsilon}{12}\right)^p - (\lambda_1 + (\lambda_2 + \lambda_3) \\
 \left(1 - \frac{\varepsilon}{12}\right))^p &= f_p^{(1)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon)
 \end{aligned}$$

② If $t_1, t_2 > 1 - \frac{\varepsilon}{12}$, then, we can check the following inequality $\varepsilon \leq \bar{\varepsilon} + 4(2 - t_1 - t_2)$ holds for ε and $\bar{\varepsilon}$.

Indeed,

$$\varepsilon = A(x_1, x_2, x_3) \leq A(x_1, \bar{x}_2, \bar{x}_3) +$$

$$\sup_{f_1, f_2 \in S(X^*)} \left\{ \begin{array}{ccc} 1 & 1 & 0 \\ f_1(x_1) & f_1(\bar{x}_2) & f_1(x_3 - \bar{x}_3) \\ f_2(x_1) & f_2(\bar{x}_2) & f_2(x_3 - \bar{x}_3) \end{array} \right\} +$$

$$\sup_{f_1, f_2 \in S(X^*)} \left\{ \begin{array}{ccc} 1 & 0 & 1 \\ f_1(x_1) & f_1(x_2 - \bar{x}_2) & f_1(x_3) \\ f_2(x_1) & f_2(x_2 - \bar{x}_2) & f_2(x_3) \end{array} \right\} \leq$$

$$A(x_1, \bar{x}_2, \bar{x}_3) +$$

$$\sup_{f_1, f_2 \in S(X^*)} \left\{ \begin{array}{ccc} 1 & 1 & 0 \\ f_1(x_1) & f_1(\bar{x}_2) & (t_2 - 1)f_1(\bar{x}_3) \\ f_2(x_1) & f_2(\bar{x}_2) & (t_2 - 1)f_2(\bar{x}_3) \end{array} \right\} +$$

$$\sup_{f_1, f_2 \in S(X^*)} \left\{ \begin{array}{ccc} 1 & 0 & 1 \\ f_1(x_1) & (t_1 - 1)f_1(\bar{x}_2) & f_1(\bar{x}_3) \\ f_2(x_1) & (t_1 - 1)f_2(\bar{x}_2) & f_2(\bar{x}_3) \end{array} \right\} \leq$$

$$\bar{\varepsilon} + \sup_{f_1, f_2 \in S(X^*)} \{ (t_2 - 1)(f_2(\bar{x}_3)f_1(\bar{x}_2) - f_2(\bar{x}_2)f_1(\bar{x}_3) - f_2(\bar{x}_3)f_1(x_1) + f_1(\bar{x}_3)f_2(x_1)) \} +$$

$$\sup_{f_1, f_2 \in S(X^*)} \{ (t_2 - 1)(t_2 f_1(\bar{x}_2)f_2(\bar{x}_3) - t_2 f_2(\bar{x}_2)f_1(\bar{x}_3) + f_2(\bar{x}_2)f_1(x_1) - f_1(\bar{x}_2)f_2(x_1)) \} \leq$$

$$\bar{\varepsilon} + 4(1 - t_2) + 2(1 - t_1)(t_2 + 1) \leq \bar{\varepsilon} + 4(2 - t_1 - t_2)$$

It follows that

$$\bar{\varepsilon} \geq \varepsilon - 4(2 - t_1 - t_2) = \varepsilon + 4(t_1 + t_2 - 2) \geq \frac{\varepsilon}{3}$$

Because the function $\delta_X(\varepsilon)$ is strictly increasing in ε , so we have

$$g(t_1, t_2) \geq \lambda_1 + \lambda_2 t_1^p + \lambda_3 t_2^p - (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_1 t_2 \delta_X\left(\frac{\varepsilon}{3}\right))^p = h(t_1, t_2)$$

From

$$\frac{\partial h}{\partial t_1} = p\lambda_2 t_1^{p-1} - p[\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_1 t_2 \delta_X\left(\frac{\varepsilon}{3}\right)]^{p-1} \cdot (\lambda_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_2 \delta_X\left(\frac{\varepsilon}{3}\right))$$

we know that the function $h(t_1, t_2)$ attains its minimum value at point t_1^* , where

$$t_1^* =$$

$$\frac{(\lambda_1 + \lambda_3 t_2) [\lambda_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_2 \delta_X\left(\frac{\varepsilon}{3}\right)]^{\frac{1}{p-1}}}{\lambda_2^{\frac{1}{p-1}} - [\lambda_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_2 \delta_X\left(\frac{\varepsilon}{3}\right)]^{\frac{1}{p-1}}}$$

Noticing that $\frac{\partial h}{\partial t_1} \Big|_{t_1=t_1^*} = 0$, we have

$$[\lambda_1 + \lambda_2 t_1^* + \lambda_3 t_2 -$$

$$3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_1^* t_2 \delta_X\left(\frac{\varepsilon}{3}\right)]^p =$$

$$\frac{\lambda_2 t_1^{*p-1} [\lambda_1 + \lambda_2 t_1^* + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_1^* t_2 \delta_X\left(\frac{\varepsilon}{3}\right)]}{\lambda_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_2 \delta_X\left(\frac{\varepsilon}{3}\right)}$$

Hence

$$\inf h(t_1, t_2) = h(t_1^*, t_2) = \lambda_1 + \lambda_2 t_1^{*p} + \lambda_3 t_2^p -$$

$$\left[\lambda_1 + \lambda_2 t_1^* + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_1^* t_2 \delta_X\left(\frac{\varepsilon}{3}\right) \right]^p = \lambda_1 + \lambda_2 t_1^{*p} + \lambda_3 t_2^p -$$

$$\frac{\lambda_2 t_1^{*p-1} [\lambda_1 + \lambda_2 t_1^* + \lambda_3 t_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_1^* t_2 \delta_X\left(\frac{\varepsilon}{3}\right)]}{\lambda_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_2 \delta_X\left(\frac{\varepsilon}{3}\right)} =$$

$$\lambda_1 + \lambda_3 t_2^p - \frac{\lambda_2 t_1^{*p-1} (\lambda_1 + \lambda_3 t_2)}{\lambda_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_2 \delta_X\left(\frac{\varepsilon}{3}\right)} =$$

$$\frac{\lambda_1 + \lambda_3 t_2^p - \lambda_2 (\lambda_1 + \lambda_3 t_2)^p}{\lambda_2 (\lambda_1 + \lambda_3 t_2)^p}$$

$$\left(\lambda_2^{\frac{1}{p-1}} - [\lambda_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3)t_2 \delta_X\left(\frac{\varepsilon}{3}\right)]^{\frac{p}{p-1}} \right)^{p-1}$$

Because $h(t_1^*, t_2)$ is strictly increasing in t_2 , so we have

$$\inf h(t_1, t_2) \geq \lambda_1 + \lambda_3 \left(1 - \frac{\varepsilon}{12}\right)^p -$$

$$\frac{\lambda_2 \left(\lambda_1 + \lambda_3 \left(1 - \frac{\varepsilon}{12}\right)\right)^p}{\left(\lambda_2^{\frac{1}{p-1}} - [\lambda_2 - 3(\lambda_1 \wedge \lambda_2 \wedge \lambda_3) \left(1 - \frac{\varepsilon}{12}\right) \delta_X\left(\frac{\varepsilon}{3}\right)]^{\frac{p}{p-1}}\right)^{p-1}} \geq$$

$$\lambda_1 + \lambda_3 \left(1 - \frac{\varepsilon}{12}\right)^p - \frac{\left(\lambda_1 + \lambda_3 \left(1 - \frac{\varepsilon}{12}\right)\right)^p}{(1 - \lambda_2)^{p-1}} = f_p^{(2)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon)$$

Hence

$$g(t_1, t_2) \geq f_p^{(2)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon)$$

③ If $0 < t_1 < 1 - \frac{\varepsilon}{12} < t_2 < 1$, then

$g(t_1, t_2) \geq \lambda_1 + \lambda_2 t_1^p + \lambda_3 t_2^p - (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^p$
Let $q(t_1, t_2) = \lambda_1 + \lambda_2 t_1^p + \lambda_3 t_2^p - (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^p$, then

$$\frac{\partial q}{\partial t_1} = p\lambda_2 t_1^{p-1} - p\lambda_2 (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^{p-1}$$

Since $t_1 < t_2$, it follows that $\frac{\partial q}{\partial t_1} < 0$, hence

$$g(t_1, t_2) \geq \lambda_1 + \lambda_2 t_1^p + \lambda_3 t_2^p - (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^p \geq$$

$$\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right)^p + \lambda_3 t_2^p -$$

$$\left(\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right) + \lambda_3 t_2\right)^p$$

and

$$q\left(1 - \frac{\varepsilon}{12}, t_2\right) = \lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right)^p +$$

$$\lambda_3 t_2^p - (\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right) + \lambda_3 t_2)^p$$

From

$$\frac{\partial q\left(1 - \frac{\varepsilon}{12}, t_2\right)}{\partial t_2} =$$

$$p\lambda_3 t_2^{p-1} - p\lambda_3 \left(\lambda_1 + \lambda_2 \left(1 - \frac{\varepsilon}{12}\right) + \lambda_3 t_2\right)^{p-1}$$

we know that the function $q\left(1 - \frac{\varepsilon}{12}, t_2\right)$ attains its min-

$$\text{imum value at point } t_2^* = \frac{\lambda_1 + \lambda_2\left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_3}.$$

Hence

$$g(t_1, t_2) \geq \lambda_1 + \lambda_2\left(1 - \frac{\varepsilon}{12}\right)^p + \lambda_3 t_2^p - (\lambda_1 + \lambda_2\left(1 - \frac{\varepsilon}{12}\right) + \lambda_3 t_2)^p \geq$$

$$\lambda_1 + \lambda_2\left(1 - \frac{\varepsilon}{12}\right)^p + \lambda_3\left(\frac{\lambda_1 + \lambda_2\left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_3}\right)^p - \left(\lambda_1 + \lambda_2\left(1 - \frac{\varepsilon}{12}\right) + \lambda_3\left(\frac{\lambda_1 + \lambda_2\left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_3}\right)\right)^p = f_p^{(3)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon)$$

④ If $0 < t_2 < 1 - \frac{\varepsilon}{12} < t_1 < 1$, then, using the similar method which is used in proof of case ③, we can deduce that

$$g(t_1, t_2) \geq \lambda_1 + \lambda_2 t_1^p + \lambda_3 t_2^p - (\lambda_1 + \lambda_2 t_1 + \lambda_3 t_2)^p \geq \lambda_1 + \lambda_2 t_1^p + \lambda_3\left(1 - \frac{\varepsilon}{12}\right)^p - (\lambda_1 + \lambda_2 t_1 + \lambda_3\left(1 - \frac{\varepsilon}{12}\right))^p \geq$$

$$\lambda_1 + \lambda_2\left(\frac{\lambda_1 + \lambda_3\left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_2}\right)^p + \lambda_3\left(1 - \frac{\varepsilon}{12}\right)^p - \left(\lambda_1 + \lambda_2\left(\frac{\lambda_1 + \lambda_3\left(1 - \frac{\varepsilon}{12}\right)}{1 - \lambda_2}\right) + \lambda_3\left(1 - \frac{\varepsilon}{12}\right)\right)^p = f_p^{(4)}(\lambda_1, \lambda_2, \lambda_3, \varepsilon)$$

Hence

$$g(t_1, t_2) \geq \min\{f_p^{(1)}, f_p^{(2)}, f_p^{(3)}, f_p^{(4)}\} = \varphi_p(\lambda_1, \lambda_2, \lambda_3, \varepsilon)$$

Combining these inequalities with (11), we have that

$$\|\lambda_1 x_1 + \lambda_2 x_2 + \lambda_3 x_3\|^p + \varphi_p(\lambda_1, \lambda_2, \lambda_3, \varepsilon) \leq \lambda_1 \|x_1\|^p + \lambda_2 \|x_2\|^p + \lambda_3 \|x_3\|^p$$

for

$$\forall x_1 \in S(X) \text{ and } x_2, x_3 \in U(X)$$

Let

$$\delta_p(\lambda_1, \lambda_2, \lambda_3, \varepsilon) = \min\{\varphi_p(\lambda_1, \lambda_2, \lambda_3, \varepsilon), \varphi_p(\lambda_2, \lambda_1, \lambda_3, \varepsilon), \varphi_p(\lambda_3, \lambda_2, \lambda_1, \varepsilon)\}$$

then, for each $p \in (0, 1)$, there exists a strictly in-

creasing function $\delta_p(\lambda_1, \lambda_2, \lambda_3, \cdot) : \mathbf{R}^+ \rightarrow \mathbf{R}^+$,

$$\delta_p(\lambda_1, \lambda_2, \lambda_3, 0) = 0, \text{ such that}$$

$$\left\| \sum_{i=1}^3 \lambda_i x_i \right\|^p + (\|x_1\| \vee \|x_2\| \vee \|x_3\|)^p \delta_p\left(\lambda_1, \lambda_2, \lambda_3, \frac{A(x_1, x_2, x_3)}{(\|x_1\| \vee \|x_2\| \vee \|x_3\|)^2}\right) \leq \sum_{i=1}^3 \lambda_i \|x_i\|^p, \forall x_1, x_2, x_3 \in X$$

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